

# **CDBG-R SUBMISSION TEMPLATE** **& CHECKLIST**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated [SF-424](#).

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

# **THE CDBG-R SUBSTANTIAL AMENDMENT**

Jurisdiction(s): <u>Lake County</u>	CDBG-R Contact Person: Bill Gearing Address: PO Box 7800, Tavares, FL 32778 Telephone: 352-742-6515 Fax: 352-742-6535 Email: wgearing@lakecountyfl.gov
Jurisdiction Web Address: www.lakecountyfl.gov <ul style="list-style-type: none"><li>• (URL where CDBG-R Substantial Amendment materials are posted)</li></ul>	

## **ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS**

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

### ***A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES***

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

**B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)**

- (1) **Activity Name:** (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)
  
- (2) **Activity Narrative:**  
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee’s CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee’s narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:
  - Preserving and creating jobs and promoting economic recovery;
  - Assisting those most impacted by the recession;
  - Providing investment needed to increase economic efficiency;
  - Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
  - Minimizing or avoiding reductions in essential services; or
  - Fostering energy independence.
  
- (3) **Jobs Created:** (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).
  
- (4) **Additional Activity Information:** (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)
  
- (5) **Responsible Organization:** (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

## 1. Activity 1 – Camp Challenge Sewer Line Replacement

a. Narrative. This project is for the replacement of approximately 3,000 feet of existing sanitary sewer lines that connect 20 buildings on the camp. The current lines are made of clay or cast iron and have been in place for over 40 years. This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers. It will also enable the Camp to replace deteriorating sewer lines that could stop the operation of the camp that supplies much needed activities and opportunities for children and adults with cognitive and physical disabilities. In light of the current economy, the Camp is finding it increasingly difficult to find funding for its operations much less the funds to complete this project. The Camp will also become more community oriented by making its facilities more easily accessible to community organizations. The Camp has even begun an advertising campaign to inform local organizations about the facilities located there.

b. Jobs Created. It is estimated that six (6) full-time jobs will be retained for several weeks to complete this activity.

### c. Additional Activity Information.

There are no known pollution emissions at this time; however, because of the age and condition of the sewer lines, it is vitally important to get them replaced before any spillage occurs. It is especially important because the Camp is located in the Wekiva River Study Area. This area is a designated Wild and Scenic River Protection Area by the National Park Service.

### d. Responsible Organization.

The organization that will implement this activity is Easter Seals Florida, 2010 Mizell Avenue; Winter Park, Florida 32792. The administrator for this project will be: Nell Gyenes, Director of Development, phone number: 407-629-7881x12102, email: ngyenes@fl.easterseals.com.

## 2. Activity 2 – Astor Road Paving

### a. Activity Narrative.

This project is for the paving of approximately 250 feet of unpaved road. This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers. This activity is part of the improvements recommended in the recently completed Astor Flood Study. The paving of this section of road will provide a financial and structural baseline for the other needed improvements to solve the drainage problems in the Astor area.

### b. Jobs Created.

It is estimated that seven (7) full-time jobs will be retained for several weeks to complete this activity.

### c. Additional Activity Information.

This activity will address some of the storm water infrastructure and road and residential flooding issues that were noted in the study. It will reduce the large amount of loose sand that is subject to washing into downstream channels, settling out into wetland areas and the finger canals that are downstream.

Responsible Organization.

This activity will be implemented by a partnership between the Lake County Community Services and Public Works Departments, 315 W. Main St., Tavares, Florida 32778. The main point of contact will be: Bill Gearing, PO Box 7800, Tavares, FL 32778; phone number: 352-742-6515; email: wgearing@lakecountyfl.gov.

3. Activity 3 – Women’s Wellness Center

a. Activity Narrative.

This project will be for the renovation of a currently unoccupied, county-owned building that was previously used as a Health Clinic. The building that currently houses the Women’s Wellness Center has become too crowded to effectively and efficiently provide the care required by the clientele. This activity will make it possible for the Lake County Health Department to increase its capability to provide essential maternity type services to an increasing number of Medicaid and uninsured women in Lake County. This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers.

b. Jobs Created.

It is estimated that seven (7) full-time jobs will be retained for several weeks to complete this activity.

c. Additional Activity Information.

Wherever possible, energy conservation techniques will be incorporated into the renovation. Higher efficiency lighting, heating, and air-conditioning systems will be employed in the renovation as a minimum.

d. Responsible Organization .

This activity will be implemented by a partnership between the Lake County Community Services and Facilities Management Departments, 315 W. Main St., Tavares, Florida 32778. The main point of contact will be: Bill Gearing, PO Box 7800, Tavares, FL 32778; phone number: 352-742-6515; email: wgearing@lakecountyfl.gov.

4. Activity 4 – Tavares Sidewalk Improvements

a. Activity Narrative.

This activity is to replace sidewalks in a low-mod area of downtown Tavares. The sidewalks are falling apart and there are places where the sidewalk no longer exists. This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last

several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers.

b. Jobs Created.

It is estimated that ten (10) temporary construction jobs lasting approximately four (4) weeks will be created for this activity.

c. Additional Activity Information.

This project will promote energy and fuel conservation by encouraging and enabling the public to walk to their destinations whenever possible rather than driving. It will also encourage better health practices and quality of life for the citizens of the City of Tavares by providing a safer place for walkers and bicyclists.

d. Responsible Organization.

This activity will be implemented by the City of Tavares, 201 E. Main St., Tavares, Fl 32778. The administrator will be Chris Thompson, Public Works Director, phone: 352-742-6221; email: cthompson@tavares.org.

5. Activity 5 – Lady Lake Road Resurfacing

a. Activity Narrative.

This project will consist of the construction of approximately 1,800 feet of asphalt pavement resurfacing in the Skyline Hills Subdivision on Lady Lake. The Town has been utilizing its normal CDBG Urban County Partner allocation to resurface all the roads in this low income area. These funds will allow the Town to continue progressing on the overall project. This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers.

b. Jobs Created.

It is estimated that ten (10) full-time, construction jobs will be retained for several weeks to complete this activity.

c. Additional Activity Information.

N/A.

d. Responsible Organization.

This activity will be implemented by the City of Lady Lake, 409 Fennell Blvd., Lady Lake, Florida. The administrator will be C. T. Eagle, Public Works Director, phone: 352-751-1526, email: cteagle@ladylakepw.org.

6. Activity 6 – Montverde, Kirk Park Improvements

a. Activity Narrative.

This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers. This activity is part of the Town of Montverde's continuing efforts to improve Kirk Park. In this

particular activity, they will replace two sets of bleachers at the baseball field which have been inspected and deemed out of compliance with state regulations. This will provide the Town a long-term economic benefit in that the field can then continued to be used to draw large numbers of people to attend the baseball games.

b. Jobs Created.

It is estimated that five (5) full-time jobs will be retained for a couple of weeks to complete this activity.

c. Additional Activity Information.

This activity will contribute to energy conservation and reduced pollution emissions by providing recreational facilities in the community, within walking distance of many homes.

d. Responsible Organization.

This activity will be implemented by the Town of Montverde, 17404 6<sup>th</sup> St., Montverde, Florida. The administrator will be Wendy Wickwire, Town Clerk, phone: 407-469-2681, email: [wwickwire@mymontverde.com](mailto:wwickwire@mymontverde.com).

7. Activity 7 – Astatula Sidewalk Construction

a. Activity Narrative.

This activity meets the requirements of Title XII of Division A and Section 1602 of ARRA because it will preserve jobs in Lake County, where the jobless rate has risen to 10.6%. Most of that rise has occurred because of loss of construction work and associated jobs because of the severe decline of the housing market which had been driving the employment market in Lake County for the last several years. Thus, this project will keep some of the people impacted most by this recession from losing their jobs and hopefully enable some companies to rehire workers. This project is to construct sidewalks in the Town that will connect to the sidewalks that connect to the Astatula Elementary School. The Lake County School Board has informed county residents that bussing of students within a two (2) mile area around a school will be discontinued next school year. This activity will provide a long-term economic benefit by reducing the number of parents who would be driving their children to school.

b. Jobs Created.

It is estimated that six (6) full-time jobs will be retained for several weeks to complete this activity.

c. Additional Activity Information.

This activity will contribute to energy conservation and reduced pollution emissions by providing a means of having a safe method for children to walk to school instead of parents driving them.

d. Responsible Organization.

This activity will be implemented by the Town of Astatula, 25019 County Road 561, Astatula, Florida. The administrator will be Mary K. Cooper, Town Clerk, phone: 352-742-1100, email: [astatula@usa2net.net](mailto:astatula@usa2net.net).

8. Activity 8 - Administration

a. Activity Narrative.

This activity is the administration by Lake County staff of the CDBG-R program.

b. Jobs Created.

N/A

c. Additional Activity Information.

N/A

d. Responsible Organization.

This activity will be implemented by the Community Services Department of Lake County, 315 W. Main St., Tavares, Florida, 32778. The administrator will be Bill Gearing, phone: 352-742-6515, email: [wgearing@lakecountyfl.gov](mailto:wgearing@lakecountyfl.gov).

On the following page is the hard copy of the CDBG-R Activity Data Spreadsheet for the Lake County CDBG-R activities.

On Page 10 is the proposed funding for each activity.



CDBG-R  
Activity Data Spreadsheet

Jurisdiction/Grantee Name: <b>Lake County</b>		CDBG-R Formula Grant Amount: <b>\$263,083.00</b>				Date: June 2, 2009	
<b>Activity Name</b>	<b>Activity Description</b>	<b>Eligibility (Regulatory or HCDA Citation)</b>	<b>National Objective Citation</b>	<b>CDBG-R Project Budget (\$)</b>	<b>Additional Recovery Funds (\$)</b>	<b>Other Leveraged Funding (\$)</b>	<b>Total Activity Budget</b>
Camp Challenge sewer lines	Replace approximately 3,000 feet of existing cast iron and clay sewer lines throughout the camp.	Public improvement, 24CFR570.201©	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$ 35,000.00			\$ 35,000.00
Astor road paving	Pave 250' of the dirt road on Third St.	Public improvement, 24CFR570.201©	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$ 40,000.00			\$ 40,000.00
Women's Wellness Center	Rehabilitate, renovate and add on to building so it can be used as the Women's Wellness Center.	Renovation of closed building, 24CFR570.202(a)	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$100,000.00		\$189,572.00	\$289,572.00
City of Tavares	Sidewalk Improvements	Public improvement, 24CFR570.201©	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$ 24,540.00			\$ 24,540.00
City of Lady Lake	Additional street resurfacing in Skyline Hills subdivision.	Public improvement, 24CFR570.201©	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$ 24,540.00			\$ 24,540.00
Town of Montverde	Additional improvements to Kirk Park.	Public improvement, 24CFR570.201©	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$ 9,600.00			\$ 9,600.00

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CDBG-R  
Activity Data Spreadsheet

Jurisdiction/Grantee Name: <b>Lake County</b>		CDBG-R Formula Grant Amount: <b>\$263,083.00</b>				Date: June 2, 2009	
<b>Activity Name</b>	<b>Activity Description</b>	<b>Eligibility (Regulatory or HCDA Citation)</b>	<b>National Objective Citation</b>	<b>CDBG-R Project Budget (\$)</b>	<b>Additional Recovery Funds (\$)</b>	<b>Other Leveraged Funding (\$)</b>	<b>Total Activity Budget</b>
Town of Astatula	Sidewalks that will connect city sidewalks to the sidewalks that lead to the elementary school.	Public improvement, 24CFR570.201©	Activities that Benefit Low- and Moderate-Income Persons, 24CFR570.208(a)	\$ 9,800.00			\$ 9,800.00
Administration	County Staff administrative costs for conducting the CDBG-R program.	Planning&Admin, 24CFR570.205&206		\$ 19,403.00			\$ 19,403.00
							0
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**CDBG - R  
PROPOSED BUDGET  
CDBG – R ALLOCATION - \$263,083**

<b>Camp Challenge sewer lines</b>	<b>\$ 35,000.00</b>
<b>Astor Road paving</b>	<b>\$ 40,000.00</b>
<b>Women’s Wellness Center</b>	<b>\$ 100,000.00</b>
<b>Tavares Sidewalk improvement</b>	<b>\$ 24,540.00</b>
<b>Lady Lake Road resurfacing</b>	<b>\$ 24,540.00</b>
<b>Montverde Kirk Park improvement</b>	<b>\$ 9,800.00</b>
<b>Astatula sidewalks</b>	<b>\$ 9,800.00</b>
<b>Administration</b>	<b>\$ 19,403.00</b>
<b>TOTAL</b>	<b>\$ 263,083.00</b>

### ***C. PUBLIC COMMENT***

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

**Note:** A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

#### Response:

The plan was posted on Lake County's website, [www.lakecountyfl.gov](http://www.lakecountyfl.gov) for public review and comment for 7 days which began on May 26, 2009. The document was on our website up to and after the meeting of the Board of County Commissioners on June 2, 2009. The document's location for review and comment was advertised in the Lake County Edition of the Orlando Sentinel on May 24, 2009. The advertisement posted in the Orlando Sentinel is provided below on Page 11.

A summary of the comments received during the public review and comment period and our responses and any action steps taken as a result are provided below.

One call was received pertaining to the Camp Challenge activity. Caller wanted to know the type of system that the camp had and if we were aware that the camp is in an environmentally sensitive area. Caller was informed that it is a stand-alone system and assured that one of the reasons for doing this project was the environmentally sensitive area.

**LAKE COUNTY**

**FY 2008-09 ONE YEAR ACTION PLAN**

**AMENDMENT PERTAINING TO CDBG-R FUNDING**

**PUBLIC INSPECTION AND COMMENT PERIOD**

**AND**

**PUBLIC HEARING**

The Lake County Department of Community Services has been notified that its FY 2008-09 Community Development Block Grant allocation will be increased by additional funding from the American Recovery and Reinvestment Act of 2009. Total anticipated revenue is \$263,083. All proposed activities will benefit low and moderate income persons. Proposed expenditures by activity are listed below.

Camp Challenge sewer lines	\$	35,000.00
Astor road paving	\$	40,000.00
Women's Wellness Center	\$	100,000.00
City of Tavares – park improvements	\$	24,540.00
City of Lady Lake – road resurfacing	\$	24,540.00
Town of Montverde – park improvements	\$	9,800.00
Town of Astatula - sidewalks	\$	9,800.00
Administration	\$	19,403.00
<b>Total Funds</b>	<b>\$</b>	<b>263,083.00</b>

The Action Plan Amendment will be **available for inspection between May 25 and June 2, 2009** at the following municipal offices:

Astatula Town Hall	Leesburg City Hall
Clermont City Hall	Mascotte Town Hall
Eustis City Hall	Minneola City Hall
Fruitland Park City Hall	Montverde Town Hall
Howey-in-the-Hills Town Hall	Tavares City Hall
Lady Lake City Hall	Umatilla City Hall

The Amendment can also be viewed at the following public libraries:

Lady Lake Library	Cooper Memorial Library
Eustis Library	Leesburg Library
Fruitland Park Branch Library	Montverde Library
Umatilla Library	W.T. Bland Library, Mount Dora
Howey-in-the-Hills Library	Tavares Library

Additional copies will be available at the Lake County Community Services, Housing & Community Development, 1300 Duncan Drive, Building E, in Tavares. The Plan is also posted on the County's website at [www.lakegovernment.com](http://www.lakegovernment.com).

The public is invited to attend a **PUBLIC HEARING** to review the Plan. The hearing will be held on **Tuesday, June 2, 2009 starting at 9 A.M.**, or shortly thereafter, in the Board Room on the second floor of the Lake County Administration Building, 315 W. Main Street in Tavares. Translation services and accommodations for special needs will be made available with advance notice.

Any questions regarding the Consolidated Plan or public hearing should be directed to Bill Gearing at 742-6515. All comments received will be considered.

Ninety-three (93) percent of the grant amount will benefit persons of low and moderate income.

# CDBG-R Substantial Amendment Grantee Checklist

*For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.*

## Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): <u>Lake County, Florida</u> Lead Agency Jurisdiction Web Address: www.lakecountyfl.gov <i>(URL where CDBG-R Substantial Amendment materials are posted)</i>	CDBG-R Contact Person: Bill Gearing Address: PO Box 7800, Tavares, FL 32778 Telephone: 352-742-6515 Fax: 352-742-6535 Email: wgearing@lakecountyfl.gov
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The elements in the substantial amendment required for the CDBG recovery funds are:

### ***A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES***

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes  No  Verification found on page **8**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes  No  Date Spreadsheet was emailed: **June 2, 2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,  
 Yes  No  Verification found on page(s) **8**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),  
 Yes  No  Verification found on page(s) **8**
- the CDBG national objective citation,  
 Yes  No  Verification found on page(s) **8**

**B. CDBG-R INFORMATION BY ACTIVITY**

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,  
Yes  No  Verification found on page(s) **4-7**
- projected number of jobs created for each activity,  
Yes  No  Verification found on page(s) **4-7**
- whether an activity will promote energy efficiency and conservation,  
Yes  No  Verification found on page(s) **4-7**
- the name, location, and contact information for the entity that will carry out the activity,  
Yes  No  Verification found on page(s) **4-7**
- evidence that no more than 10% of the grant amount will be spent on administration and planning,  
Yes  No  Verification found on page (s) **9**
- evidence that no more than 15% of the grant amount will be spent on public services,  
Yes  No  Verification found on page (s) **9**
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,  
Yes  No  Verification found on page (s) **11**

**C. PUBLIC COMMENT PERIOD**

Was the proposed action plan amendment published via the jurisdiction’s usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes  No . Verification found on page(s) **11**

Is there a summary of citizen comments included in the final amendment?

Yes  No  Verification found on page(s) **11**

**D. CERTIFICATIONS**

The following certifications are complete and accurate:

- |   |   |                             |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace                   | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying                         | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan                 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3                             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- |  |     |                          |                          |    |                          |
|--|-----|--------------------------|--------------------------|----|--------------------------|
| (8) Community development plan                               | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (9) Following a plan   | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (10) Use of Funds  | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (11) Excessive Force   | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws                | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (13) Lead-based paint procedures                             | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (14) Compliance with laws                                    | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (15) Compliance with ARRA                                    | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (16) Project selection                                       | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (17) Timeliness of infrastructure investments                | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (18) Buy American provision                                  | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (19) Appropriate use of funds for infrastructure investments | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (20) 70% of CDBG-R for LMI                                   | Yes | X                        | <input type="checkbox"/> | No | <input type="checkbox"/> |
| <br>Optional Certification                                   |     |                          |                          |    |                          |
| (21) Urgent Need   | Yes | <input type="checkbox"/> | No                       | X  | <input type="checkbox"/> |

**D. STATE CERTIFICATIONS** N/A

The following certifications are complete and accurate:

- |  |     |                          |    |                          |
|--|-----|--------------------------|----|--------------------------|
| (1) Affirmatively furthering fair housing                    | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan                    | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (3) Drug-free Workplace                                      | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (4) Anti-lobbying  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (5) Authority of State                                       | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (6) Consistency with plan                                    | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (7) Section 3  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (8) Community development plan                               | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (9) Consultation with Local Governments                      | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (10) Use of Funds  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (11) Excessive Force   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws                | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (13) Compliance with laws                                    | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (14) Compliance with ARRA                                    | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (15) Project selection                                       | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (16) Timeliness of infrastructure investments                | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (17) Buy American provision                                  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| (19) 70% of CDBG-R for LMI                                   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| <br>Optional Certification                                   |     |                          |    |                          |
| (20) Urgent Need   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |

# CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or



(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

\_\_\_\_\_  
Welton G. Cadwell

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chairman, Lake County Board of County Commissioners

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title